

Holding of specified securities

- 1 Name of Listed Entity: **TATA METALIKS LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : [NSE: TATAMETALI] [BSE: 513434]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

30-Sep-20
NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes / No	Promoter and Promoter Group	Public sharehold er	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Datanand Mishra



TATA METALIKS LIMITED

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	1	18957090	0	0	18957090	60.03	18957090	0	18957090	60.03	0	60.03	6289500	33.18	0	0	18957090
(B)	Public	54256	12620410	0	0	12620410	39.97	12620410	0	12620410	39.97	0	39.97	0	0.00		NA	11396696
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00		NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00		NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00		NA	0
	Total	54257	31577500	0	0	31577500	100.00	31577500	0	31577500	100.00	0	100.00	6289500	19.92		0	30353786
Note: 1. 34, 92,500 Equity Shares have been allotted on 25.09.2020 to Tata Steel Limited against the 34, 92,500 no of convertible warrants.																		
2. The trading approvals have been received from NSE & BSE on 19-10-2020																		

Ratan Mishra



TATA METALIKS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class eg: X	Class eg: Y									Total
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii)	(ix)			(x)	(xi)= (vii)+(x)	(xii)		(xiii)		(xiv)	
1)	Indian																		
(a)	Individuals/Hindu undivided Family																		
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Body Corporates		1	18957090			18957090	60.03	18957090		18957090	60.03	60.03	6289500	33.18			18957090	
1	TATA STEEL LIMITED	AAACT2803M		18957090			18957090	60.03	18957090		18957090	60.03	60.03	6289500	33.18			18957090	
	Sub-Total (A)(1)		1	18957090	0	0	18957090	60.03	18957090	0	18957090	60.03	0	60.03	6289500	33.18	0	0	18957090
2)	Foreign						0												
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)						0												
(b)	Government						0												
	Name (xyz...)						0												
(c)	Institutions																		
(d)	Foreign Portfolio Investor						0												
(e)	Any Other (specify)						0												
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	18957090	0	0	18957090	60.03	18957090	0	18957090	60.03	0	60.03	6289500	33.17756	0	0	18957090

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) Tata Sons Private Limited (TSPL) holds 32.93% in Tata Steel Limited as on September 30, 2020 and Tata Steel Limited is an associate of TSPL. TSPL being a Core Investment Company registered with RBI, is exempted under the Significant Beneficial Ownership (SBO) Rules. Tata Steel does not have any other Significant Beneficial Owner under SBO Rules. Accordingly, Tata Metaliks Limited does not have any SBOs to be reported in the shareholding pattern as on September 30, 2020.

Ratantony Shree



TATA METALIKS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
1)	Institutions																		
(a)	Mutual Funds		23	4681467			4681467	14.83	4681467		4681467	14.83	14.83				NA	4680967	
1	## HDFC A/C MUTUAL FUND	AAATH1809A	2	2547295			2547295	8.07	2547295		2547295	8.07	8.07				NA	2547295	
2	## SBI MUTUAL FUND	AABTS6407Q	4	624769			624769	1.98	624769		624769	1.98	1.98				NA	624769	
3	## ICICI PRUDENTIAL MUTUAL FUND	AAAAI0038F	7	533744			533744	1.69	533744		533744	1.69	1.69				NA	533744	
4	## ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE SMALL AND MIDCAP FUND	AAATB0102C	1	516205			516205	1.63	516205		516205	1.63	1.63				NA	516205	
(b)	Venture Capital Funds																NA		
(c)	Alternate Investment Funds																NA		
(d)	Foreign Venture Capital Investors																NA		
(E)	Foreign Portfolio Investors		24	395675			395675	1.25	395675		395675	1.25	1.25				NA	395175	
	Name (xyz...)																NA		
(F)	Financial Institutions/ Banks		2	800			800	0.00	800		800	0.00	0.00				NA	700	
	Name (xyz...)																NA		
(g)	Insurance Companies		1	200000			200000	0.63	200000		200000	0.63	0.63				NA	200000	
(h)	Provident Funds/ Pension Funds																NA		
	Name (xyz...)																NA		
(i)	Any Other (specify)																NA		
	Name (xyz...)																NA		
	Sub-Total (B)(1)		50	5277942	0	0	5277942	16.71	5277942	0	5277942	16.71	0	16.71	0	0	NA	5276842	
2)	Central Government/ State Government(s)/ President of India		1	250000			250000	0.79	250000		250000	0.79	0.79				NA	250000	
	Name (xyz...)																NA		
	Sub-Total (B)(2)		1	250000	0	0	250000	0.79	250000	0	250000	0.79	0	0.79	0	0	NA	250000	
3)	Non-institutions																NA		
(a)	Individuals -																NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		52991	6315629			6315629	20.00	6315629		6315629	20.00	20.00				NA	5100815	

TATA METALIKS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	176271			176271	0.56	176271		176271	0.56	0.56				NA	176271	
(b)	NBFCs registered with RBI																NA		
	Name (xyz...)																NA		
(c)	Employee Trusts																NA		
	Name (xyz...)																NA		
(d)	Overseas Depositories (holding DRs) (balancing figure)																NA		
	Name (xyz...)																NA		
(e)	Body Corporates (India)		396	404047			404047	1.28	404047		404047	1.28	1.28				NA	396347	
	Name (xyz...)																NA		
(f)	Non Resident Indian (NRI)		714	174591			174591	0.55	174591		174591	0.55	0.55				NA	174491	
(g)	Trusts		5	4519			4519	0.01	4519		4519	0.01	0.01				NA	4519	
(h)	Clearing Members		92	17411			17411	0.06	17411		17411	0.06	0.06				NA	17411	
	Name (xyz...)																NA		
	Sub-Total (B)(3)		54205	7092468	0	0	7092468	22.46	7092468	0	7092468	22.46	0	22.46	0	0	NA	5869854	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		54256	12620410	0	0	12620410	39.97	12620410	0	12620410	39.97	0	39.97	0	0	NA	11396696	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

In Mutual Fund Category under beneficiaries Accounts having common PAN No. against AAATB0102C (4 accounts), Hence the holding of there three account were clubed into one. Detail of same given below:

1	IN30005410068099	AAATH1809A		2417395			2417395	7.66	2417395		2417395	7.66					NA	2417395
1	IN30012611260565	AAATH1809A		129900			129900	0.41	129900		129900	0.41					NA	129900
1				2547295			2547295	8.07	2547295		2547295	8.07					NA	2547295
2	IN30014210618996	AAAAI0038F		189796			189796	0.60	189796		189796	0.60					NA	189796
2	IN30012611218363	AAAAI0038F		140093			140093	0.44	140093		140093	0.44					NA	140093
2	IN30005410099532	AAAAI0038F		107741			107741	0.34	107741		107741	0.34					NA	107741
2	IN30005410094000	AAAAI0038F		80029			80029	0.25	80029		80029	0.25					NA	80029
2	IN30005410108552	AAAAI0038F		16015			16015	0.05	16015		16015	0.05					NA	16015
2	IN30378610005635	AAAAI0038F		70			70	0.00	70		70	0.00					NA	70
2		0		0			0	0.00	0		0	0.00					NA	0
2				533744			533744	1.69	533744		533744	1.69					NA	533744

TATA METALIKS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
3	IN30005410066446	AAATB0102C		516205			516205	1.63	516205		516205	1.63		1.63			NA	516205	
3				516205			516205	1.63	516205		516205	1.63		1.63			NA	516205	
4	IN30378610000893	AABTS6407Q		338034			338034	1.07	338034		338034	1.07		1.07			NA	338034	
4	IN30378610001200	AABTS6407Q		183174			183174	0.58	183174		183174	0.58		0.58			NA	183174	
4	IN30378610001146	AABTS6407Q		100000			100000	0.32	100000		100000	0.32		0.32			NA	100000	
4	IN30378610001015	AABTS6407Q		3561			3561	0.01	3561		3561	0.01		0.01			NA	3561	
3				624769			624769	0.33	624769		624769	1.98		1.98			NA	624769	

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Babbar Mishra



Details of the shareholders acting as persons in Concert including their Shareholding:

(Kindly start filing data from Row No - 3)

Name of shareholder	Name of PAC	No. of shares	Holding %

Datanand Mishra



TATA METALIKS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category of shareholder	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
(I)		(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)	
1)	Custodian/DR Holder																	NA	
(a)	Name of DR Holder (if available)																	NA	
(i)	abc,...																	NA	
(ii)	efg...																	NA	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																	NA	
(a)	Name (....)																	NA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Ratan Mishra



Table V- Statement showing details of significant beneficial owners

Sr. No	Details of the significant beneficial owner			Details of the registered owner			Particulars of the shares in which		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
	(I)			(II)			(III)		(IV)
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0

Debanjan Ghosh



Table V- Statement showing details of significant beneficial owners