

Holding of specified securities

- 1 Name of Listed Entity: **TATA METALIKS LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : [NSE: TATAMETALI] [BSE: 513434]
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending 30-Jun-20
- b. If under 31(1)(c) then indicate date of allotment/extinguishment NA

- 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes / No	Promoter and Promoter Group	Public sharehold er	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Datanand Mishra



TATA METALIKS LIMITED

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	1	15464590	0	0	15464590	55.06	15464590	0	15464590	55.06	0	55.06	2797000	18.09	0	0	15464590
(B)	Public	54609	12620410	0	0	12620410	44.94	12620410	0	12620410	44.94	0	44.94	0	0.00	NA	NA	11394596
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0.00	0	0.00	NA	NA	0
	Total	54610	28085000	0	0	28085000	100.00	28085000	0	28085000	100.00	0	100.00	2797000	9.96		0	26859186
Note:		Tata Steel Limited having 34,92,500 no of convertible warrants.																

Ratan Mishra



TATA METALIKS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii)	(ix)			(x)	(xi)= (vii)+(x)	(xii)		(xiii)		(xiv)	
1)	Indian																		
(a)	Individuals/Hindu undivided Family																		
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Body Corporates		1	15464590			15464590	55.06	15464590		15464590	55.06	55.06	2797000	18.09			15464590	
1	TATA STEEL LIMITED	AAACT2803M		15464590			15464590	55.06	15464590		15464590	55.06	55.06	2797000	18.09			15464590	
	Sub-Total (A)(1)		1	15464590	0	0	15464590	55.06	15464590	0	15464590	55.06	0	55.06	2797000	18.09	0	0	15464590
2)	Foreign						0												
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)						0												
(b)	Government						0												
	Name (xyz...)						0												
(c)	Institutions																		
(d)	Foreign Portfolio Investor						0												
(e)	Any Other (specify)						0												
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	15464590	0	0	15464590	55.06	15464590	0	15464590	55.06	0	55.06	2797000	18.08648	0	0	15464590

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) "Tata Steel Limited is our Promoter Company. Tata Sons Private Limited (TSPL) holds 32.93% in Tata Steel as on June 30, 2020 & Tata Steel is an associate of TSPL. However, TSPL being a Core Investment Company registered with RBI is exempted under the Significant Beneficial Ownership Rules (SBO Rules). Tata Steel does not have any other Significant Beneficial Owner under SBO Rules. Accordingly, Tata Metaliks Limited does not have any SBOs to be reported in this shareholding pattern as on June 30, 2020."

(4) Tata Steel Limited having 34,92,500 no of convertible warrants.

Ratantony Shree



TATA METALIKS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
1)	Institutions																		
(a)	Mutual Funds		24	4730557			4730557	16.84	4730557		4730557	16.84	16.84					NA	4730057
1	## HDFC A/C MUTUAL FUND	AAATH1809A	2	2536295			2536295	9.03	2536295		2536295	9.03	9.03					NA	2536295
2	## SBI MUTUAL FUND	AABTS6407Q	4	631208			631208	2.25	631208		631208	2.25	2.25					NA	631208
3	## ICICI PRUDENTIAL MUTUAL FUND	AAAAI0038F	7	581513			581513	2.07	581513		581513	2.07	2.07					NA	581513
4	## ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE SMALL AND MIDCAP FUND	AAATB0102C	1	519946			519946	1.85	519946		519946	1.85	1.85					NA	519946
(b)	Venture Capital Funds																	NA	
(c)	Alternate Investment Funds																	NA	
(d)	Foreign Venture Capital Investors																	NA	
(E)	Foreign Portfolio Investors		24	358204			358204	1.28	358204		358204	1.28	1.28					NA	357704
0	Name (xyz...)																	NA	
(F)	Financial Institutions/ Banks		7	28923			28923	0.10	28923		28923	0.10	0.10					NA	28823
	Name (xyz...)																	NA	
(g)	Insurance Companies		2	200770			200770	0.71	200770		200770	0.71	0.71					NA	200770
(h)	Provident Funds/ Pension Funds																	NA	
	Name (xyz...)																	NA	
(i)	Any Other (specify)																	NA	
	Name (xyz...)																	NA	
	Sub-Total (B)(1)		57	5318454	0	0	5318454	18.94	5318454	0	5318454	18.94	0	18.94	0	0		NA	5317354
2)	Central Government/ State Government(s)/ President of India		1	250000			250000	0.89	250000		250000	0.89	0.89					NA	250000
	Name (xyz...)																	NA	
	Sub-Total (B)(2)		1	250000	0	0	250000	0.89	250000	0	250000	0.89	0	0.89	0	0		NA	250000
3)	Non-institutions																	NA	
(a)	Individuals -																	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		53251	6117526			6117526	21.78	6117526		6117526	21.78	21.78					NA	4900612

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)	(XIV)		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	273540			273540	0.97	273540		273540	0.97	0.97			NA	273540		
(b)	NBFCs registered with RBI															NA			
	Name (xyz...)															NA			
(c)	Employee Trusts															NA			
	Name (xyz...)															NA			
(d)	Overseas Depositories (holding DRs) (balancing figure)															NA			
	Name (xyz...)															NA			
(e)	Body Corporates (India)		476	446654			446654	1.59	446654		446654	1.59	1.59			NA	438954		
	Name (xyz...)															NA			
(f)	Non Resident Indian (NRI)		716	172988			172988	0.62	172988		172988	0.62	0.62			NA	172888		
(g)	Trusts		5	4442			4442	0.02	4442		4442	0.02	0.02			NA	4442		
(h)	Clearing Members		97	36806			36806	0.13	36806		36806	0.13	0.13			NA	36806		
	Name (xyz...)															NA			
	Sub-Total (B)(3)		54551	7051956	0	0	7051956	25.11	7051956	0	7051956	25.11	0	25.11	0	0	NA	5827242	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		54609	12620410	0	0	12620410	44.94	12620410	0	12620410	44.94	0	44.94	0	0	NA	11394596	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

In Mutual Fund Category under beneficiaries Accounts having common PAN No. against AAATB0102C (4 accounts), Hence the holding of there three account were clubed into one. Detail of same given below:

1	IN30005410068099	AAATH1809A		2406395			2406395	8.57	2406395		2406395	8.57	8.57			NA	2406395
1	IN30012611260565	AAATH1809A		129900			129900	0.46	129900		129900	0.46	0.46			NA	129900
1				2536295			2536295	9.03	2536295		2536295	9.03	9.03			NA	2536295
2	IN30014210618996	AAAAI0038F		189796			189796	0.68	189796		189796	0.68	0.68			NA	189796
2	IN30012611218363	AAAAI0038F		155486			155486	0.55	155486		155486	0.55	0.55			NA	155486
2	IN30005410099532	AAAAI0038F		140093			140093	0.50	140093		140093	0.50	0.50			NA	140093
2	IN30005410094000	AAAAI0038F		80029			80029	0.28	80029		80029	0.28	0.28			NA	80029
2	IN30005410108552	AAAAI0038F		16015			16015	0.06	16015		16015	0.06	0.06			NA	16015
2	IN30378610005635	AAAAI0038F		94			94	0.00	94		94	0.00	0.00			NA	94
2		0	0	0			0	0.00	0		0	0.00	0.00			NA	0
2				581513			581513	2.07	581513		581513	2.07	2.07			NA	581513

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
3	IN30005410066446	AAATB0102C		519946			519946	1.85	519946		519946	1.85	1.85				NA	519946	
3				519946			519946	1.85	519946		519946	1.85	1.85				NA	519946	
4	IN30378610000893	AABTS6407Q		338034			338034	1.20	338034		338034	1.20	1.20				NA	338034	
4	IN30378610001200	AABTS6407Q		183174			183174	0.65	183174		183174	0.65	0.65				NA	183174	
4	IN30378610001146	AABTS6407Q		100000			100000	0.36	100000		100000	0.36	0.36				NA	100000	
4	IN30378610001015	AABTS6407Q		10000			10000	0.04	10000		10000	0.04	0.04				NA	10000	
3				631208			631208	0.39	631208		631208	2.25	2.25				NA	631208	

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Babbar Mishra



Details of the shareholders acting as persons in Concert including their Shareholding:

(Kindly start filing data from Row No - 3)

Name of shareholder	Name of PAC	No. of shares	Holding %

Ratan Gishra



TATA METALIKS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category of shareholder	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)	
1)	Custodian/DR Holder																	NA	
(a)	Name of DR Holder (if available)																	NA	
(i)	abc,...																	NA	
(ii)	efg...																	NA	
	Sub-Total (C)(1)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regula																	NA	
(a)	Name (....)																	NA	
	Sub-Total (C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	0

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Ratan Mishra



Table V- Statement showing details of significant beneficial owners

Sr. No	Details of the significant beneficial owner			Details of the registered owner			Particulars of the shares in which		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
	(I)			(II)			(III)	(IV)	
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0
							0	0	0

Debanjan Ghosh



Table V- Statement showing details of significant beneficial owners