



TML: 4127: 2018

13 October, 2018

**Manager – Listing**

The National Stock Exchange of India Ltd.  
5, Exchange Plaza,  
Bandra Kurla Complex, Bandra (E),  
Mumbai- 400 051.

**DGM – Listing**

BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400 001.

**Stock Code –TATAMETALI**

**Stock Code - 513434**

Dear Sir/ Madam,

**Sub: Certificate under Regulation 40(9)**

We enclose a certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 from a Practicing Company Secretary for the half year ended 30 September, 2018 which is self-explanatory.

This is for your information and record.

Yours faithfully,

For **Tata Metaliks Limited**

**Sankar Bhattacharya**  
**Chief – Corporate Governance &**  
**Company Secretary**

Encl: as above

**TATA METALIKS LIMITED**

Tata Centre 43 Jawaharlal Nehru Road Kolkata 700 071 India  
Tel 91 33 66134205 Fax 91 33 2288 4372 e-mail tml@tatametaliks.co.in  
CIN L27310WB1990PLC050000

**P. V. Subramanian**  
B.Com., LL.B., ACS.  
Company Secretary in Whole-time Practice

81/8, Regent Estate,  
Kolkata-700 092, India.  
Mobile: 98300 26425

**CERTIFICATE**

**{UNDER REGULATION 40(9)  
OF THE SECURITIES AND EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,  
2015}}**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2018.**

**TO WHOM IT MAY CONCERN**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **TATA METALIKS LIMITED** ("the Company") being maintained by **R&D Infotech Pvt. Ltd. ("RTA")** for the purpose of issuing certificate as per Regulation 40(9) of the Securities And Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and, also based on the information provided by the Company, I hereby certify that the Company has delivered all the certificates relating to transfer of shares and subdivision of share certificate within 30 days from the respective dates of valid lodgment of those certificates during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018. During this period there had been no case of lodgment of shares for consolidation, exchange, renewal or endorsement of calls/allotment monies.



Date: 13<sup>th</sup> October, 2018.

Place: Kolkata.

*P.V. Subramanian*  
(P V Subramanian)

Company Secretary in Whole-time Practice  
CP No.:2077 / ACS-4585